SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	s of Reporting Persor	ı*		Name and Ticker of GROUP, IN			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) 1225 17TH STR	(First) EET	(Middle)	3. Date c 03/03/2	f Earliest Transaction 020	on (Month/Day	Year)	x	Officer (give title below)	Other (specify below) dvisory Services		
SUITE 3200				ndment, Date of Or	iginal Filed (Mo	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)							X	Form filed by One Form filed by More		ng Person	
DENVER	СО	80202									
(City)	(State)	(Zip)									
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned			
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

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			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	03/03/2020		A ⁽¹⁾		19,920	Α	\$0.0000	157,100	D	
Class A Common Stock	03/03/2020		A ⁽²⁾		16,224	A	\$0.0000	173,324	D	
Class A Common Stock	03/03/2020		F		14,767	D	\$57.73	158,557	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts,	calls, wa	rrants, options	s, convertible securities)	
(e.g., puis,	calls, wa	rants, options	s, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Derivative Code (Instr. Securities		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. The Issuer granted these securities to the Reporting Person on March 3, 2020 as part of the Reporting Person's 2020 annual equity award. These securities will vest at a rate of 25% per year on each of March 3, 2021, 2022, 2023 and 2024, subject to forfeiture or acceleration in certain circumstances as set forth in the award agreement for these securities.

2. The Issuer granted these securities to the Reporting Person on February 16, 2018 as part of the Reporting Person's 2018 annual equity award. On March 3, 2020, the Compensation Committee of the Board of Directors of the Issuer certified the Issuer's Adjusted EPS performance necessary for this award to vest. As such, these securities will vest in full on February 16, 2021, subject to forfeiture in certain circumstances as set forth in the award agreement for these securities.

> /s/ Cindy Kee, Attorney-in-Fact for 03/05/2020 Daniel G. Queenan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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